**Client Control Selection**

A key control in minimizing the potential for fraud is requiring independent personnel to approve a transaction prior to processing, otherwise known as dual control. However, establishing dual controls increases the burden on [client name]. As such, we provide [client name] the option to require or not require dual controls over the processing of [type transactions]. Please select the desired option.

[ ] Dual controls are required for the processing of transactions. Prior to processing transactions submitted by authorized personnel, [bank] must confirm this transaction with another authorized party from [client name].

[ ] Dual controls are NOT required. Transactions submitted by authorized personnel from [client name] may be processed by [bank] without verification from independent personnel. [client name] acknowledges and accepts the risk that authorized personnel from [client name] could potentially submit unauthorized transactions for processing.

**Authorized Personnel**

The following personnel are authorized to initiate and/or verify transactions.

|  |  |  |  |
| --- | --- | --- | --- |
| First Name | Last Name | Initiate | Verify |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

Note: If dual controls are NOT required, any personnel listed above may initiate and authorize transactions for processing.